

Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
For any resident marked as non-interviewable, refused, unavailable or out of facility the following areas should be reviewed in the record regardless of whether the area is an indicator for the resident.		
Pressure Ulcers	- Did the resident develop a pressure ulcer in the facility that has not healed? - Did the resident have a pressure ulcer that worsened and hasn't improved recently? - Does the resident currently have a pressure ulcer that became infected in the facility? Note: Exclude Stage 1 pressure ulcers.	No Issues/NA Further Investigation MDS Discrepancy
Dialysis	Is the resident receiving peritoneal dialysis or hemodialysis?	No Issues Further Investigation NA MDS Discrepancy
Nutrition	- Did the resident have an unplanned weight loss of 5% or more in the last month or 10% or more in the last 6 months? - Does the resident still have weight loss? Note: Exclude residents currently receiving hospice or end of life services.	No Issues/NA Further Investigation MDS Discrepancy
Respiratory Infection	Does the resident currently have a respiratory infection?	No Issues/NA Further Investigation MDS Discrepancy
Urinary Tract Infection (UTI)	Does the resident currently have a UTI?	No Issues/NA Further Investigation MDS Discrepancy
Infections (not UTI, Pressure Ulcer, or Respiratory)	Does the resident currently have any other infection (e.g., surgical infection, eye infection, C. difficile, sepsis, or gastroenteritis such as norovirus)?	No Issues/NA Further Investigation MDS Discrepancy

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Falls	Did the resident have a fall(s) with major injury in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
ADL Decline	Has the resident had a decline in their bed mobility, transfer, eating or toilet use recently and is not receiving therapy or restorative for the decline in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Low Risk B&B	Is the resident incontinent of bowel or bladder and not at a high risk for incontinence issues? Note: High risk means the resident is cognitively impaired, receives hospice or end of life services, or requires extensive to total assistance from staff with bed mobility, transfers, toileting or locomotion.	No Issues/NA Further Investigation MDS Discrepancy
Hospitalization	Was the resident re-hospitalized in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Elopement	- Is the resident at risk for elopement? - Has the resident eloped in the last 120 days?	No Issues/NA Further Investigation MDS Discrepancy
Change of Condition	Has the resident had a change of condition in the last 120 days that was not identified, monitored or treated appropriately?	No Issues/NA Further Investigation
For all residents who are observed during the initial pool process, the record is reviewed for high risk meds and PASARR only if the resident has the indicator present.		
Insulin	Is the resident currently receiving insulin?	Yes No MDS Discrepancy
Anticoagulant	Is the resident currently receiving an anticoagulant?	Yes No MDS Discrepancy

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Antipsychotic with Alzheimer's or Dementia	Is the resident currently receiving an antipsychotic and has a diagnosis of Alzheimer's or dementia?	Yes No MDS Discrepancy
Antibiotic	Is the resident currently receiving an antibiotic?	Yes No MDS Discrepancy
Antipsychotic with New Schizophrenia Diagnosis	Is the resident currently receiving an antipsychotic and has a new diagnosis of schizophrenia?	Yes No MDS Discrepancy
No Antipsychotic with New Schizophrenia Diagnosis	Does the resident have a new diagnosis of schizophrenia?	Yes No MDS Discrepancy
PASARR	Was a Level II PASARR adequately completed and determined the resident does not qualify for Level II services even though the resident has a serious mental illness, ID or other organic condition related to ID/DD?	No Issues/NA Further Investigations MDS Discrepancy
For all residents who are observed during the initial pool process, the record is reviewed for Advanced Directives and Other.		
Advance Directives	Does the resident have an advance directive in place? If information is kept in two places (e.g., EHR and the hard chart), ensure the information matches.	No Issues/NA Further Investigation
Other	Did you have any additional concerns that you identified from the record?	No Issues/NA Further Investigation
For new admissions added to the Resident Listing who are observed during the initial pool process (i.e., they don't have an MDS), the record is reviewed for high risk meds.		
High Risk Meds	Is the resident currently receiving any of the following medications at least one time in the last 30 days? (Mark all that apply) Antipsychotic Antianxiety Antidepressant Hypnotic	Select all that apply.

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	Anticoagulant Antibiotic Diuretic Insulin Opioids None of the above Note: Do not code aspirin or Plavix as an anticoagulant. Code medications according to a drug's pharmacological classification, not how it is used.	
Diagnoses	Does the resident have any of the following diagnoses? (Mark all that apply) Alzheimer's or dementia Huntington's syndrome Tourette's Syndrome Manic Depression (bipolar disease) Schizophrenia Cerebral Palsy Multiple Sclerosis Seizure Disorder/Epilepsy None of the above	Select all that apply.
New Schiz and Antipsychotic	Is the resident at least 65 years of age, receiving an antipsychotic, and has a new diagnosis of schizophrenia since admission?	Yes No
New Schiz and No Antipsychotic	Is the resident at least 65 years or older and has a new diagnosis of schizophrenia since admission?	Yes No
Hospice	Is the resident receiving hospice, end of life, or palliative care services?	Yes No MDS Discrepancy